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November 14, 2001

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

Attorney Docket No.: 004-5639

Transmitted herewith for filing is a patent application as follows:

Inventor(s): Laurent P. Daynès  
Title: LOCK DELEGATION WITH SPACE-EFFICIENT LOCK MANAGEMENT  
Assignee: Sun Microsystems, Inc.

Enclosed are:

- ☒ Application Data Sheet (2 page(s))  
☐ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i) (\_\_\_\_ page(s))  
33 Pages of Written Description (including Specification, Claims and Abstract)  
6 Sheets of Drawings, ☒ Formal / ☐ Informal  
☒ Declaration for Patent Application (2 pages), ☒ Executed / ☐ Unexecuted  
☒ Assignment of the Invention (3 pages, including Cover Sheet)  
☐ Information Disclosure Statement (\_\_\_\_ pages)  
☐ with Form(s) PTO 1449 (\_\_\_\_ page(s)) and copies of \_\_\_\_ reference(s)  
☒ Other: Check in the amount of \$1,248.00  
☒ This Transmittal Letter (in duplicate) (1 page(s)) ☒ Return Postcard

CLAIMS AS FILED

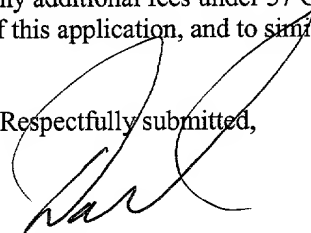
|   | Number Filed | Number Extra | Rate        | Fee        |
|---|--------------|--------------|-------------|------------|
| Basic Fee =                                       |              |              |             | 740.00     |
| Total Claims                                      | 32 - 20      | = 12         | x \$18.00 = | 216.00     |
| Independent Claims                                | 6 - 3        | = 3          | x \$84.00 = | 252.00     |
| Multiple Dependent Claims (if any) - \$280.00 fee |              |              |             | 0.00       |
| Other: Assignment Filing Fee                      |              |              |             | 40.00      |
| TOTAL FILING FEE                                  |              |              |             | \$1,248.00 |

- ☐ Small entity status is entitled to be asserted for the application.  
☒ A check is enclosed for the Total Filing Fee shown above.  
☐ Please charge the Total Filing Fee shown above to Deposit Account 50-0631.  
☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. § 1.16 or 1.17 that may be required during the pendency of this application, and to similarly credit any overpayment, to Deposit Account 50-0631.

EXPRESS MAIL LABEL NO.:

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Respectfully submitted,

  
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